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Optical Refrigeration_ Science and Applications of Laser Cooling of Solids

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Optical Refrigeration

Edited by Richard Epstein and Mansoor Sheik-Bahae

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Optical Refrigeration

Science and Applications of Laser Cooling of Solids

Edited by Richard Epstein and Mansoor Sheik-Bahae



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Preface

Laser cooling of solids or "optical refrigeration" is a research area that encompasses basic scientific questions regarding the interaction of light with condensed matter systems and the practical issue of the design and construction of practical laserpowered cryocoolers. This volume brings together leading researchers to describe the critical issues being investigated and the approaches they are pursuing. There are two general thrusts to the current research programs: laser cooling of solids containing rare-earth (RE) ions, and the cooling of direct bandgap semiconductors. The advantages of using rare-earth-doped solids for laser cooling had been known for decades. The key optical transitions in RE-doped ions involve 4f electrons that are shielded by the filled 5s and 6s outer shells, which thus limit interactions with the surrounding lattice. Nonradiative decays due to multiphonon emission are thus suppressed.

Laser cooling of a solid was first experimentally demonstrated in 1995 with ytterbium-doped fluoride glass. Since then, researchers have demonstrated laserinduced cooling in a broad range of glasses and crystals doped with ytterbium. Later, laser cooling was achieved in thulium-doped fluoride glass. More recently, erbium-doped glasses and crystals have been laser cooled. Laser cooling of semiconductors has been more problematic. Direct bandgap semiconductors have several potential advantages over rare-earth-based cooling materials. These materials interact with light more strongly and have the potential to cool at much lower temperatures with higher cooling power densities. Additionally, they can be directly integrated into electronic and photonic devices. However, these materials have their own challenges. Semiconductors typically have high refractive indices that enhance total internal reflection and lead to luminescence trapping. Because of modest quantum efficiencies and heating from reabsorbed luminescence, net cooling is yet to be observed in semiconductors.

Chapter 1 provides an overview of research issues in optical refrigeration. It summarizes the physics of laser cooling in rare-earth-doped solids and in semiconductors and examines current research challenges. The factors that limit cooling performance in rare-earth-based coolers are parasitic heating from impurities and from components of the coolers such as the mirrors that form the cavity used to trap the pump radiation. The authors describe ongoing efforts to mitigate these problems. This chapter examines approaches to laser cooling in semiconductors that attempt to overcome nonradiative recombination electron–hole excitations and luminescence trapping. In particular, it addresses the interplay of properties such as quantum efficiency, excitation density and extraction efficiency, which must be adjusted to achieve effective cooling.

In Chapter 2, Markus Hehlen describes the program at Los Alamos National Laboratory to develop ultrapure rare-earth-doped glasses that will be more efficient in laser cooling. He first summarizes the current status of the laser cooling of rare-earth-based laser cooling materials and then explains the advantages and constraints that must be considered when selecting rare-earth dopants and host materials. Dr. Hehlen discusses the harmful effects of impurities, such as OH ions and transition metals. He then describes the program in his laboratory to produce chemicals that have extremely low levels of impurities and to produce nearly defect-free glass samples for laser cooling. He ends with a discussion of halide crystal growth and some promising materials for laser cooling that may be developed in the future.

In Chapter 3, Bigotta and Tonelli discuss laser cooling in fluoride single crystals. They detail the growth of high-purity rare-earth-doped BaY₂F₈ (BYF) and LiYF₄ (YLF), and show experimental results from optical refrigeration in such crystals doped with Yb. Chapter 4 deals with optical refrigeration in erbium-doped materials. The authors Fernandez, Garcia-Adeva and Balda provide a detailed description of the synthesis of chloride crystal KPb₂Cl₅ and fluorochloride glass CNBZn doped with erbium. These hosts have very low maximum phonon energies, and thus have the potential to exhibit very high quantum efficiencies. This chapter also presents experimental data on the laser cooling of these Er-doped materials at $\lambda \approx 850$ nm. This is quite interesting, as it corresponds to a transition involving the ground state and the ⁴I_{9/2} manifold, which is the second excited state. The authors also discuss the role of excited state absorption and upconversion in the laser cooling process of Er-doped systems.

Mills and Buchwald (Chapter 5) present a thorough analysis of the numerous practical issues involved in realizing an optical refrigerator based on rare-earthdoped materials. In particular, they consider a cooler based on Yb:ZBLAN and provide modeling results that include pump saturation, pump circulation in a nonresonant cavity, fluorescence shielding, and thermal link considerations.

Chapters 6 and 7 focus on laser cooling in semiconductors. Chapter 1 gave an overview of the macroscopic analysis and experimental issues in this field. Chapters 6 and 7 present rigorous theoretical calculations that illustrate the interplay of the physical processes involved in the laser cooling of semiconductors and to explore how the materials can be adjusted to optimize efficiency and operating temperatures. In Chapter 6, Rupper, Kwong and Binder present a comprehensive microscopic theory for absorption and luminescence in a direct-gap semiconductor under the pertinent condition of a partially ionized exciton gas. The authors also extend their analysis to 2D (quantum wells) as well as to doped bulk semiconductors. In Chapter 7, Khurgin addresses a number of important issues pertaining to laser cooling in semiconductors. In particular, he considers how to engineer the density of states, phonon-assisted absorption in the band-tail, cooling in type II quantum

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wells, photonic bandgap structures, and the escape of luminescence by means of surface plasmon coupling.

In Chapter 8, Mungan reviews the concepts and history of the thermodynamics of fluorescent cooling. He discusses how the entropy and energy of beams of radiation are related. Mungan uses these results to calculate the ideal coefficient of performance for laser cooling and discusses how real-world effects reduce the efficiency. He then examines important practical topics such as radiation-balanced lasing, in which the heat generated by lasing is compensated for by fluorescent cooling, and the recycling of output optical energy into the input in order to increase the cooling efficiency.

In short, we hope that this book will serve its purpose as a major collection of the most significant findings to date in this relatively young yet thriving area of research. While the authors in this volume have attempted to provide forwardlooking accounts of their areas of research, we have no doubt that the rapid and continuous progress in optical refrigeration will soon necessitate a new compendium of progress in the laser cooling of various solids and the development of optical refrigeration devices.

Albuquerque, NM October 2008 Mansoor Sheik Bahae Richard Epstein



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